

Annexure – B(b)

Guidelines with respect to maintenance of Register of Securities (ROS)

Generic File Name	Register of Securities (ROS)
File format	CSV format

Members have to maintain Register of Securities considering following validation point:

Header Record:

Field Name	Structure
Member Name	Alpha Numeric
Period	- First Date to Last Date - Format: DDMMYYYY to DDMMYYYY

Detail Record:

S. No.	Fields	Length (Max)	Description
1.	Transaction Date	Char (10)	Format: DD-MM-YYYY
2.	Execution Date	Char (10)	Format: DD-MM-YYYY
3.	Exchange	Char (3)	Value shall be any of the following: NSE BSE MSE
4.	Segment Type	Char (3)	Value shall be any of the following: CM FO
5.	Unique Client Code (UCC)	Char (20)	Alpha Numeric client code.
6.	Back Office Client Code	Char (20)	Alpha Numeric client code.
7.	Client Name	Char (100)	Alpha Numeric Client Name.
8.	Client PAN	Char (10)	Alpha Numeric Client PAN.
9.	Member Demat Account No. (9A and 9B)	Char (16)	Demat Account Number in 16 digit Alpha-numeric (wherever applicable).
10.	Counterparty Demat Account No. (10A and 10B)	Char (16)	Demat Account Number in 16 digit Alpha-numeric (wherever applicable).
11.	Settlement No.	Char (20)	Alpha Numeric settlement No.
12.	ISIN Code	Char (12)	Alpha Numeric ISIN Code.

S. No.	Fields	Length (Max)	Description
13.	Scrip Name	Char (20)	NSE scrip symbol for securities listed on NSE, if securities are not listed on NSE then BSE scrip code. (Alpha Numeric).
14.	Quantity Delivered (Qty.)	Number (20)	- Quantity of securities in Numeric form. - Decimals shall be allowed upto 3 digits.
15.	Quantity Received (Qty.)	Number (20)	- Quantity of securities in Numeric form. - Decimals shall be allowed upto 3 digits.
16.	Balance (Qty.)	Number (20)	- Quantity of securities in Numeric form. - Decimals shall be allowed upto 3 digits.
17.	Pledge / Unpledge (Qty.)	Number (20)	- Quantity of securities in Numeric form. - Decimals shall be allowed upto 3 digits.
18.	Trf. Ref. No.	Char (20)	Alpha Numeric Transfer reference number.
19.	POA Flag	Char (3)	Shall be populated with 'Yes' only when, POA is invoked.
20.	Transaction Type	Char (7)	- Value shall be any of the following: OFF_MKT MKT - For pledge/unpledged and corporate action entries, it may be kept blank.
21.	Purpose	Char (15)	Value shall be any of the following: Payin Payout Early-Payin Margin-Receipt Margin-Release Pledge Unpledge Corpaction Auction Settlement Internal-Netting Close-out Opening-Balance Closing-Balance
